

# **Training sessions**

**Newly appointed Technical Body officers** 



# Training newly appointed Technical Body Officers



Play

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CEN-CENELEC







Nuno PARGANA Thierry LEGRAND









- 1. Roles and Responsibilities of BT, TC, WG
- 2. From New Work Item to Publication
- 3. Day to day management of Vienna Agreement
- 4. Citations of Harmonized Standards in OJEU
- 5. BOSS Business Operations Support System
- 6. Useful information

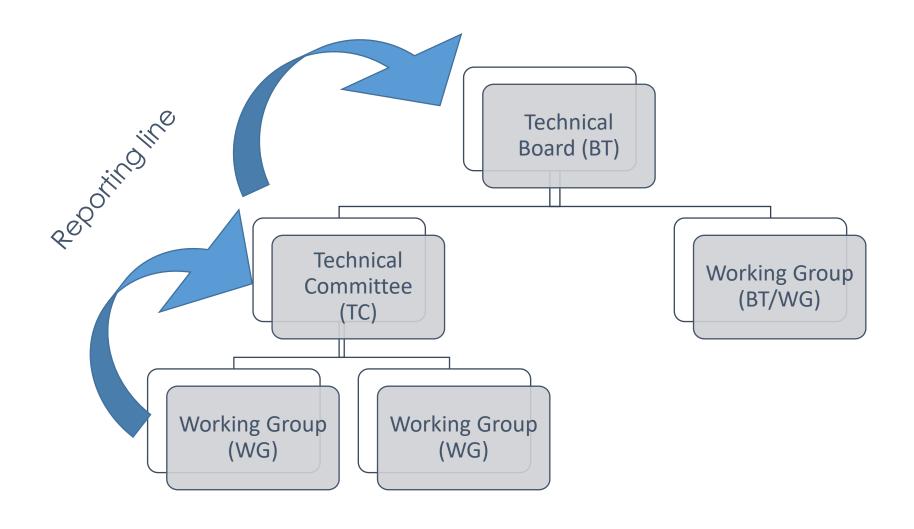


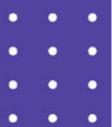


# 1. Roles and Responsibilities of BT, TC, WG

## The three-decision layers at technical level







# The three-decision layers at technical level



# Technical Board BT

# Technical Board (BT)



#### Composition

- ► Chairperson: CEN Vice-President Technical
- ► Chairperson of the BT Technical Committee Management Group (TCMG)
- ► Secretariat: CCMC
- ► Membership: one representative per country
- ▶ Observers: ANEC, ECOS, SBS, ETUC, European Commission...

#### Working

- ▶ By correspondence (4 weeks)
- ▶ Meetings/year: 2 CEN/BT meetings + 2 CEN/BT TCMG meetings

# Technical Board (BT)



#### Responsibilities

- ► Advises and decides on technical matters (organization, procedures coordination, overlaps and planning)
- ► Examines and decides on new projects (New Work Items (NWI))\*
- ► Undertakes any task with regard to technical work as may be requested by the General Assembly or the Administrative Board
- ► 'Management by exception' principle
- \* <u>Decision delegated to the Technical Committee (TC)</u>



## The three-decision layers at technical level



# Technical Committees TC

# **Technical Committee (TC)**



### Responsibilities

- ► Established by CEN/BT
- ► Precise title and scope
- ► Responsible for drafting deliverables
- ► Support CCMC during the negotiations on standardization request
- ► Timely execution of standardization request deliverables

#### Composition

- ► Chairperson and Secretary
- ▶ National Delegations (national position, voting right)
- ► European Partners (observers, no voting right)

# **Technical Committee - Chairperson**



#### Chairperson

- ► Appointed by CEN/BT (delegated decision)
- ▶ Neutral
- ▶ Presides meetings and manages consensus
- ► Interface with CCMC (strategic directions, specific issues, external relationships,...)
- ► Ensures coordination and info exchange with other TCs



# **Technical Committee - Secretary**



#### Secretary

- ► Appointed by CEN member holding the secretariat of TC
- ► Ensures that TC works efficiently and agreed timetables are kept
- ▶ Prepares and distributes documents (agenda, documents for discussion, reports, decisions, etc)
- ► Manages documents distribution via CEN Document platform
- ▶ Is aware of CEN/BT decisions related to technical work and reacts on any question raised by BT or other competent CEN body in relation to his/her assigned CEN/TC
- ► Ensures coordination with other TCs
- ► Liaises with CCMC
- ▶ In case of Standardization Requests, he/she is responsible for preparing reports

# TC Composition



**Secretariat:** Allocated by BT (to a Member)

**Chair:** Appointed by BT\*

CEN
TECHNICAL
COMMITTEE

#### **CEN Member**

Max. 3 delegates - Vote

**European Partners (including Annex III)** 

Observer – No vote

#### **CEN-CENELEC Guide 25**

'The concept of Partnership with European organisations and other stakeholders' ISO/IEC

Observer – No vote

#### **European Commission/EFTA**

Observer – No vote

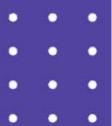
#### **Liaison officers from other TCs**

Observer – No vote

**Affiliates** 

**Partner St. Bodies** 

Observer – No vote



## The three-decision layers at technical level



# Working Group WG

# Working group



### Working groups (s)

- ► Established by the Technical Committee
- ► Short term task (prepare first drafts of EN/TS/TR)
- ► Individual experts

### Working Group Convenor

- ► Responsible for sending drafts to TC
- ► Ensures that WG experts know rules/procedures
- ► Actively progresses work and reports regularly to TC
- ► Seeks support from the parent body (if needed)

# Smooth and efficient meeting



#### Decision BT 30/2018 and BT 32/2018

► Code of Conduct for experts participating in CEN & CENELEC (technical) work (here) - raising awareness on Internal Regulations and Guides, especially the Policy on Patents and the provisions regarding competition law

▶ Best practices for improving effectiveness of WG meetings (here) – advices and suggestions to WGs convenors on what should be done before, during and after meetings





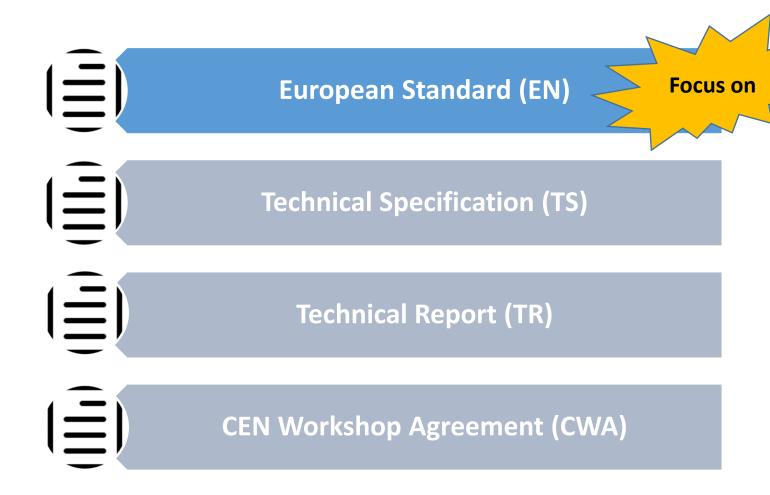






## 2. From New Work Item to Publication

## **CEN Deliverables**







Proposal - evaluation and decision

Drafting and consensus building

Public enquiry

Consideration of comments

Approval of the standard

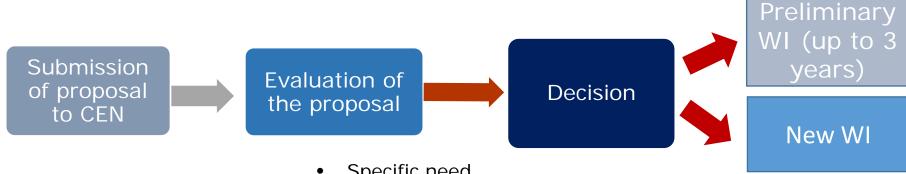
**Publication** 

# Proposal – Evaluation and decision



#### Proposals may originate from:

- Existing Technical Committees
- **European Commission or Agency**
- National Standardization Bodies
- CEN Partner Organizations



- Specific need
- Feasibility
- Resources
- Consider national legislation/ A-deviation needed
- Check in other TCs work programmes (CEN, CENELEC)

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2021-12-07

# Vote on New Work Item (NWI)

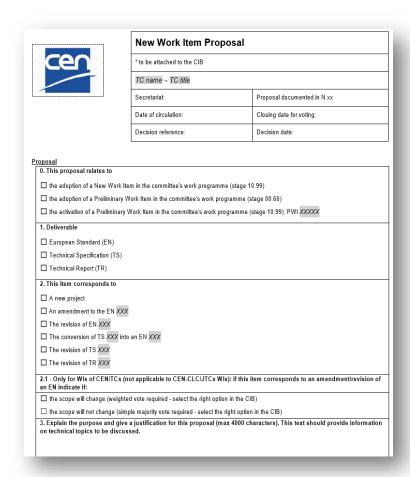


- ► <u>Creation of NWI</u>: decision by correspondence through Committee Internal Balloting CIB (2 months)
- ► (At least) Five countries committed to actively participate
- **▶ Weighted vote** applies to:
  - ▶ new WIs (new EN and TS)
  - ► Revisions/amendments of the EN with wider scope
- ▶ Simple majority (decision BT 018/2021) applies to:
  - ▶ amendments/revisions within same scope
- ▶ Preliminary work items (PWI) can be adopted during the meeting

## Creation of New Work Item



- ► New Work Item' form: **mandatory**
- ► Precise information needed (e.g. superseding EN(s), possible a-deviation(s), link with EU regulation, ...) including the lead
- ▶ Registration of the WI only <u>via Projex</u> <u>Online Working Area</u> (User guide available)
- ▶ If WI is accepted, standstill applies



## Submission of drafts to CCMC



#### What do we need from you?

- ▶ Draft text in Word (.doc or .docx) and PDF
- **▶** Transmission Notice
- ▶ Drawing files ('tif' or 'eps' files)
- ► Supporting files
- ► Anything specific you want to mention when sending us your drafts?

Electronic Transmission Notice					
TC Name  TC Title  Secretariat: [NC]		[provide TC name]			
		[provide TC title]			
		[provide TC Secretary name and email address]			
		[in case WG Convenor and project leader should receive a copy of the draft for TC proofing after Formal Vote, provide name(s) and email address(es)]			

#### All documents submitted to CCMC shall comply with the CEN-CENELEC Internal Regulations Part 3, "Rules for the structure and drafting of CEN-CENELEC Publications"

Reference Nur Work Item Nur								
Title:								
Sending date:								
Deliverable	EN 🔲	HD 🔲	Amdt	TS	TR 🔲	AC 🔲		
Milestone	1st Working Draft	draft for ENQ	draft for FV	2nd ENQ [provide TC decision, number and date)	2nd FV [provide BT decision, number and date]	TS/TR vote		
	Publication							
GENERAL								
	t in the following quage permitted)	Reference lan	guage version ir	PDF and revisal	ole Word format (o	nly one		
		ENGLISH	FRENCH	GERMAN	Comments			
Provided								
Reference ven	sion							
WORD								

TO BE FILLED IN IN CASE OF HARMONIZED STANDARD ONLY							
Standardization	Related	New Approach	Assessment required by				
request	Directive/policy	OJEU citation	HAS	EC	Other		
[SREQ_1]	[DIR_1]				[specify method]		
[SREQ_2]	[DIR_2]				[specify method]		
[SREQ_3]	[DIR_3]				[specify method]		

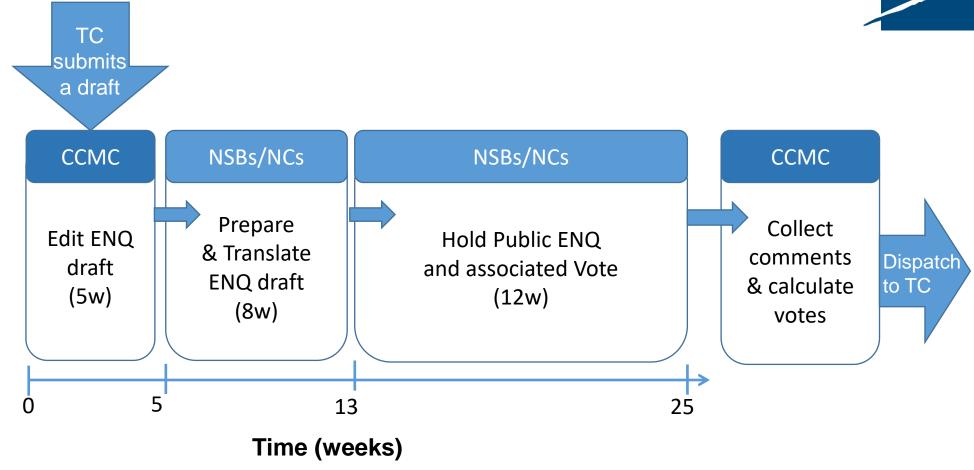
TO BE FILLED IN IN CASE THIS IS A 1st WORKING DOCUMENT:
document is to be submitted to HAS Consultant for indicative assessment

ANNEXES - where required
'lif' or 'eps' figure files (for xml purposes, the resolution for figures in 'lif' has to be 600 dpi, compression 'lzw')
Informative Annex ZA/ZZ_(coverage of Essential Requirements)
Normative Annex ZA (Normative References - for CENELEC Frankfurt Agreement deliverables)
☐ Justification of normative references (for undated and/or unusual references)
commenting table (including comments from HAS Consultant where relevant) completed with observations from the Secretariat
Pre-assessments by the TC e.g.
Risk Assessment
sectoral specific arrangements
other [please specify]
☐ Other

Additional information					
	2021	10	0.7		

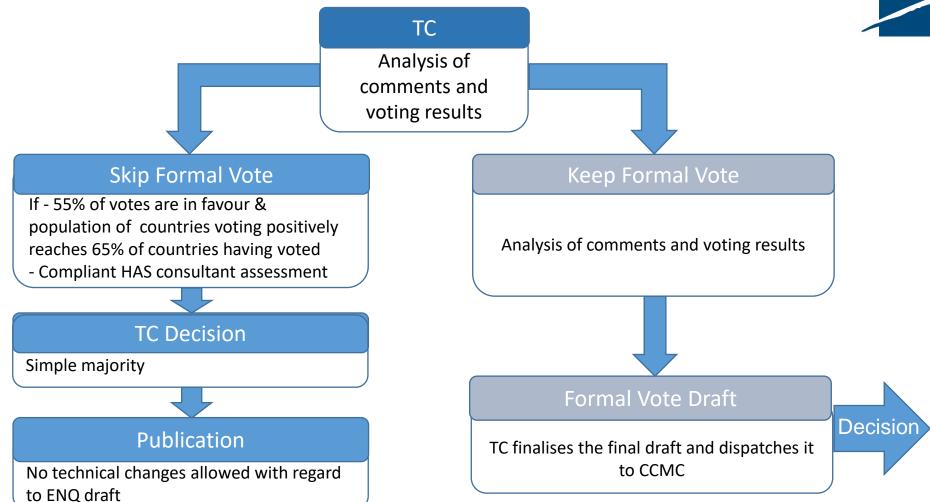
# **Public Enquiry**





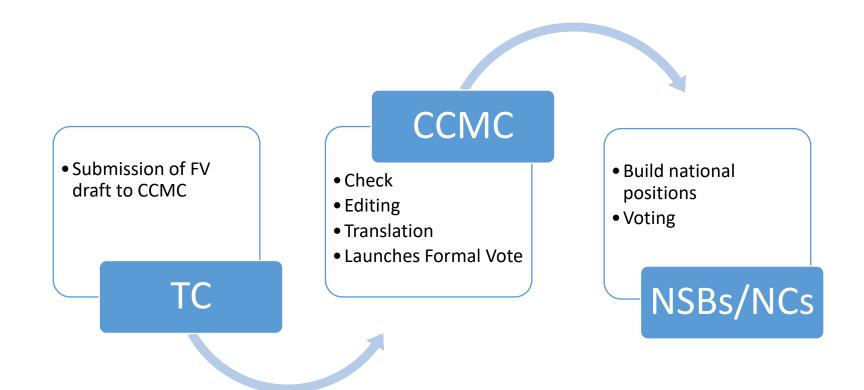
## **Consideration of comments**





## **Consideration of comments**





55% of votes in favour + 65% of population → approved European Standard



# **Approval of standards**



Internal Regulations Part 2

Common Rules For Standardization Work

July 2020

Annex D.2 Weightings allocated to the CEN national members in case of weighted voting<sup>8</sup>

Member country	Population 2020 (in millions)	Population weight %	EEA country
Turkey	83,430	13,43%	-
Germany	83,133	13,39%	×
France	67,060	10,80%	×
United Kingdom	66,834	10,76%	-
Italy	60,297	9,71%	×
Spain	47,077	7,58%	×
Poland	37,971	6,11%	×
Romania	19,357	3,12%	×
Netherlands	17,333	2,79%	×
Belgium	11,484	1,85%	×
Greece	10,716	1,73%	×
Czech Republic	10,670	1,72%	×
Sweden	10,285	1,66%	×
Portugal	10,269	1,65%	×
Hungary	9,770	1,57%	×
Austria	8,877	1,43%	×
Switzerland	8,575	1,38%	_
Bulgaria	6,976	1,12%	×
Serbia	6,945	1,12%	_
Denmark	5,819	0,94%	×
Finland	5,520	0,89%	×
Slovakia	5,454	0,88%	×
Norway	5,348	0,86%	×
Ireland	4,941	0,80%	×
Croatia	4,067	0,65%	×
Lithuania	2,787	0,45%	×
Slovenia	2,088	0,34%	×
Republic of North Macedonia	2,083	0,34%	
Latvia	1,913	0,31%	×
Estonia	1,327	0,21%	X
Cyprus	1,199	0,19%	×
Luxembourg	0,620	0,10%	×
Malta	0,503	0,08%	×
Iceland	0,361	0,06%	×
Total	621,09	100%	



# Publication – Handling FV comments (I)

**Decision BT 36/2019** – Handling comments at FV



- ▶After FV, only **obvious editorial errors** and errors introduced by CCMC can be considered:
  - ▶'Obvious editorial error' is an editorial error that is recognized as such immediately and without any doubt, both by the CCMC editor and the TC Secretary'

#### ►After FV:

- ► No reply and CCMC proceeds to finalization
- ▶CCMC editing and TC proofing is 2 weeks each



## Publication – Handling FV comments (II)

## **Decision BT 36/2019** – Handling comments at FV



- ▶Issues during the TC proofing will be escalated to BT
- ▶ Technical Committees requested to deliver high quality texts
- ▶ Decision BT 48/2014 for limited technical changes to prevent the application of deficient standard

## **Publication**



#### **CCMC**

- ► Finalizes and publishes EN
- ▶ Provides EN to National CEN Members for national publication
- ► Provides titles to European Commission (if harmonized standard)

#### **Members**

- ▶ Publish as national standard and
- ▶ Withdraw conflicting standard(s)
- ► May translate into national language

## Amendments, corrigenda and maintenance



#### **Amendments**

- ► Modification/addition to or deletion of specific parts of the text
- ► Only the amended text will be voted upon
- ► If amendment → NWI needed Track: Enquiry (+ vote)

#### Corrigenda

- ▶ TC rationale needed
- ▶ Corrects mistakes that lead to incorrect/unsafe use of EN
- ► TC to contact CCMC production team

#### Maintenance/Systematic review

- ► Reviewed every 5 years → Review launched by CCMC
- ► TC to take decision according to review results
- ▶ If revision → NWI needed Track: Enquiry (+ vote)

# Flexible standards development process

#### Decision BT 37/2019

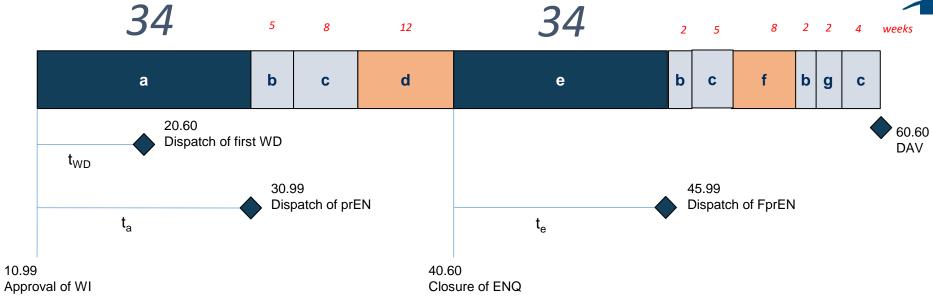
- ► Approved flexible standards development <u>process</u>
- ► Applicable to:
  - **▶** CEN homegrowns ENs
  - ► CEN work items under Vienna Agreement with CEN lead

- ► Part 1 Principles & operational aspects Play & PDF & Q&A
- ► Part 2 IT tools for planning and monitoring Play & PDF & Q&A
- ► Webinar: Flexible standards development process Clarifications & improvements (Play)



# Before flexible development standards





- a Drafting of prEN
- b Editing
- c Translation and preparation of national publication
- d Enquiry
- e Comments handling / preparation of FprEN
- f Formal Vote
- g TC proofing

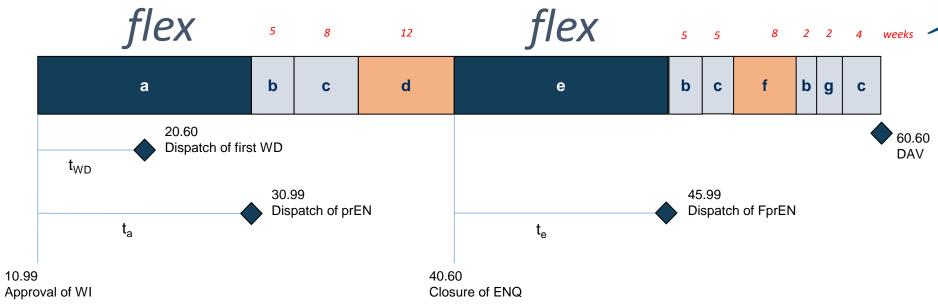




Voting

# Flexible approach





- a Drafting of prEN
- b Editing
- c Translation and preparation of national publication
- d Enquiry
- e Comments handling / preparation of FprEN
- f Formal Vote
- g TC proofing









cen

- ▶TCs have 68 weeks to draft standards
- ▶TC to define the target dates when adopting NWIs:
  - ► Target date for dispatch 1st WD
  - ► Target date for dispatch ENQ draft to CCMC (min 1 week)
  - ► Target date for dispatch FV draft to CCMC (min 6 weeks)



Use the IT tool in Projex-Online Working Area will help in planning and drafting the NWI!

## Flexible process – CEN NWI form



18. Track			
☐ Enquiry + Formal Vote (for E	EN)		
☐ Vote on TS or TR by corres	pondence		
19. Please provide the target	dates for the below key stages.	•	
	•		under VA with CEN lead only and ly to the adoption of PWIs. For JTCs
also add the durations in week.			
Project start date (10.99)	Dispatch of 1st WD (20.60)	Dispatch of ENQ (30.99)	Dispatch of FV draft (45.99)
yyyy-mm-dd	It is half the number of	vvvv-mm-dd	vvvv/mm/dd
	weeks planned for ENQ (30.99)	For JTC only: to indicate the	For JTC only: to indicate the
	For CEN/TC: automatically calculated via Working Area	duration in weeks from 10.99 10.99 + X weeks	duration in weeks from 40.60 40.60 <u>+ X</u> weeks
	For JTC: date will be added by CCMC		

## **Creation of NWI – Target dates**



#### 17. Please provide the target dates for the below key stages

Stage Code	Stage	Target date
10.99	Decision on WI Proposal	
20.60	Circulation of 1st WD	+ 17 weeks
30.99	Dispatch ENQ draft to CMC	+ 17 weeks
		+ 13 weeks
40.20	Submission to Enquiry	+ 12 weeks
40.60	Closure of Enquiry	+ 34 weeks
45.99	Dispatch FV draft to CMC	<b>■</b>
50.20	Submission to Formal Vote	+ 8 weeks
50.60	Closure of Formal Vote	+ 8 weeks
60.55	DOR/Ratification	+ 4 weeks
		+ 4 weeks
60.60	DAV/Definitive text available	

Project start date:	<b>=</b>	Calculate target dates
---------------------	----------	------------------------

Please enter the start date of the project and push on the button 'Calculate target' dates a committee plan.

Remark: the dates may still be manually changed after the simulation but cannot go beyo

## Creation of NWI - Target dates



#### 17. Please provide the target dates for the below key stages

Stage Code	Stage	Target date	
10.99	Decision on WI Proposal	2020-03-04	
20.60	Circulation of 1st WD	2020-07-01	+ 17 weeks
30.99	Dispatch ENQ draft to CMC		+ 17 weeks
30.99	Dispatch ENQ draft to CMC	_	+ 13 weeks
40.20	Submission to Enquiry	2021-01-27	+ 12 weeks
40.60	Closure of Enquiry	2021-04-21	. Od weeks
45.99	Dispatch FV draft to CMC	2021-12-15	+ 34 weeks
50.20	Submission to Formal Vote	2022-02-09	+ 8 weeks
E0.00	Olegura of Fermal Veta		+ 8 weeks
50.60	Closure of Formal Vote	2022-04-06	+ 4 weeks
60.55	DOR/Ratification	2022-05-04	+ 4 weeks
60.60	DAV/Definitive text available	2022-06-01	



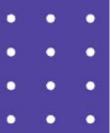
Default target dates are proposed by the system, but they can be edited.



## Flexible standards development process



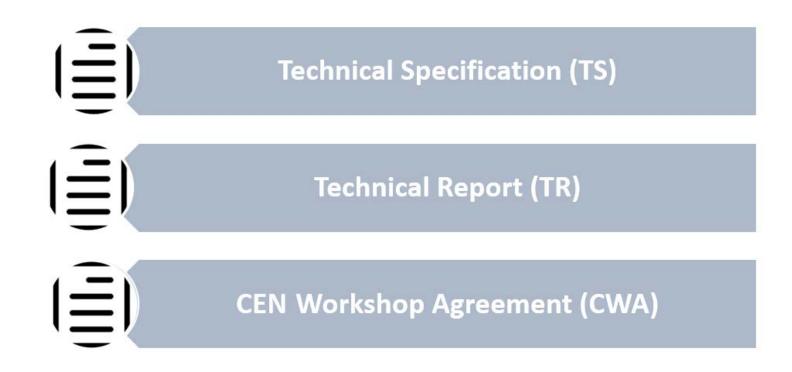
- ▶At any time before the dispatch for Enquiry (30.99), the TC leadership in cooperation with the WG convenor have at disposal the following options for changing the planning:
  - ► To change the planning by using the 'one change' option → TC decision not needed
  - ► To ask for a **tolerance** (39 weeks) → <u>it requires TC decision</u>



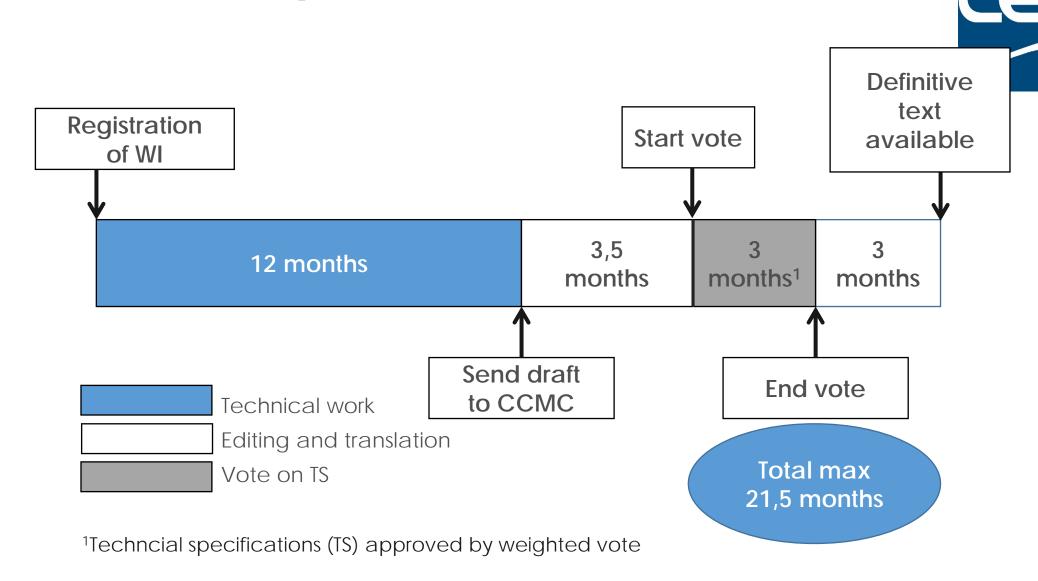
## Other deliverables



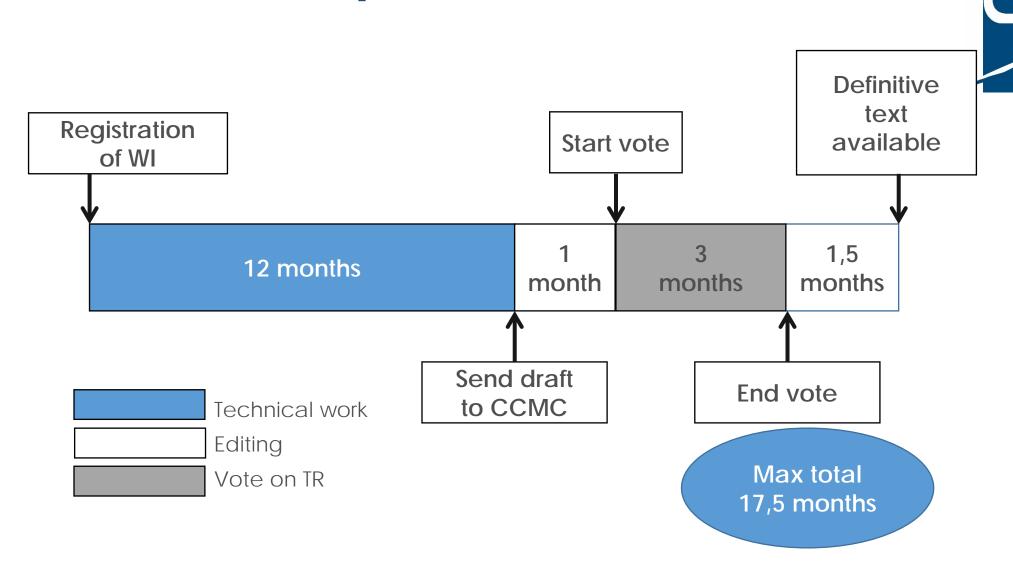
## Adapting to market needs



## **Technical Specification**



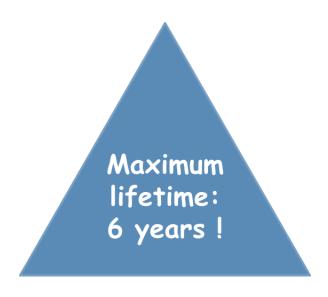
## **Technical Report**

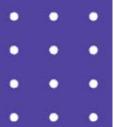




- ▶ Developed in 'Workshops' (WS):
  - ► Flexible (possibility to join in up until the very end)
  - ► Direct participation
  - ▶ Open to non-European
- ▶ Represent the agreement of the WS participants
- ▶ Used for:
  - ► Emerging or rapidly-changing technologies
  - **▶** Output of Research Projects
  - ► As try-out before the development of ENs







## Understanding the standardization process



Reminder: drafting, editing and publishing



## **Key points**

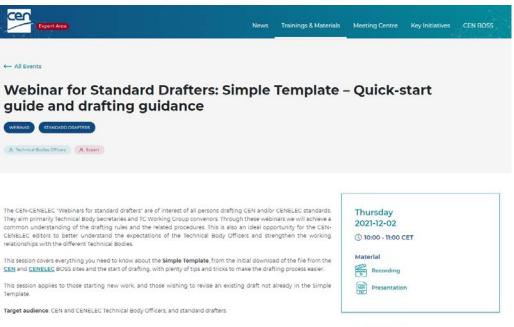


Useful tools to get you started:

**CEN-CENELEC Internal Regulations Part 3** 

CEN Simple template for drafting standards

Webinar for standard drafters



TAGS: Simple template





## **Break**





## Understanding the standardization process

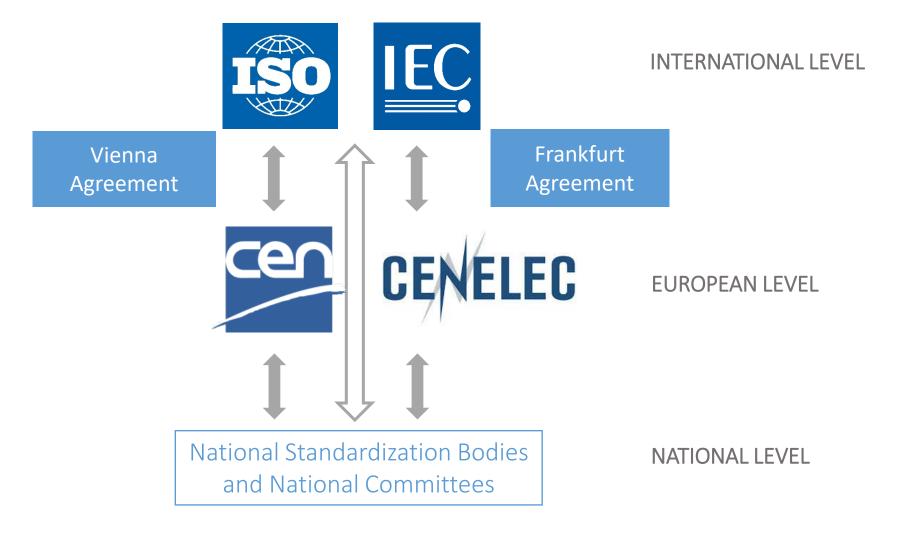


# 3. Day to day management of Vienna Agreement



## International dimension – Vienna & Frankfurt Agreements





## International dimension – Vienna Agreement



#### What?

Formal agreement on technical cooperation between ISO and CEN

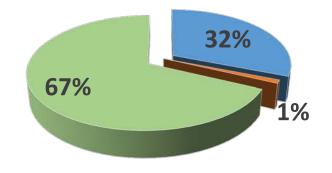
#### When?

- 1991 Published2001 Revision
- 2016 'Guidelines on implementation'

#### Why?

- Avoid duplication of work at International
   European levels
- Promote global economy
- Ensure rational use of back office resources

**CEN – Portfolio – relation to ISO** 



- Identical to ISO publication
- Based on ISO publication
- No relation to ISO publications





Information exchange between CCMC and ISO/CS

Cooperation by correspondence

Representation in meetings

Adoption of available publications

Parallel development of common deliverables

## International dimension - Vienna Agreement



- ►In CEN = 8 week translation (DE) + 12 week Enquiry Vote
- ► Ideally, the standard should be adopted without change (identical standards)
- ▶If changes are needed: 2 options

Revision of publication using parallel ISO-CEN approval (preferred)

Adoption of modified version of the available publication

EN 15157 **EUROPEAN STANDARD** NORME EUROPÉENNE EUROPÄISCHE NORM August 2006

ICS 81.060.30

Advanced technical ceramics - Mechanical properties of cera composites at high temperature in air at atmospheric pressy Determination of fatigue properties at constant amplitude keramischen Verbundwerkstoffe

English Version

Céramiques techniques avancées - Propriétés mécaniques des deramiques composites à haute température sous ar a pression atmospherique - Détermination des propriétés de

Luft bei Atmosphärendru

CEN members are bound to comply with the CENCENELEC Internal Regulations which stipulate the corporate in the complex of a maximum default and alternation. Understate lists and bibliographical references the element of a maximum default and alternation. CEN members are bound to comply with the CEN/CENELEC Internal Regulations which stipulate the col

Standard the status of a national standard without any alteration. Up-to-date lists and bibliographical ref

Example of the status of a national standard without any alteration to the Central Secretarial or to any CEN member.

This European Standard exists in three official versions (English, French, German). A version in any of under the responsibility of a CEN member into its own language and notified to the Central Secretary under the responsibility of a CEN member into its own language and notified to the Central Secretary under the responsibility of a CEN member into its own language and notified to the Central Secretary under the responsibility of a CEN member into its own language and notified to the Central Secretary under the responsibility of a CEN member into its own language.

CEN members are the national standards bodies of Austria, Belgium, Cyprus, Czech Republic, Dy Caro, Caro, Marta, Neberlo Centra, Caro, Car

**EUROPEAN STANDARD** NORME EUROPÉENNE

EUROPÄISCHE NORM

ICS 81.060.30

April 2016

**EN ISO 17142** 

Supersedes EN 15157:2006

English Version

Fine ceramics (advanced ceramics, advanced technical ceramics) - Mechanical properties of ceramic composites at high temperature in air at atmospheric pressure -Determination of fatigue properties at constant amplitude (ISO 17142:2014)

Céramiques techniques - Propriétés mécaniques des céramiques composites à haute température sous air à pression atmosphérique - Détermination des propriétés de fatigue à amplitude constante (ISO 17142:2014)

Hochleistungskeramik - Mechanische Eigenschaften von keramischen Verbundwerkstoffen bei hoher Temperatur in Luft unter Atmosphärendruck -Bestimmung der Ermüdungseigenschaften bei konstanter Amplitude (ISO 17142:2014)

This European Standard was approved by CEN on 25 March 2016.

CEN members are bound to comply with the CEN/CENELEC Internal Regulations which stipulate the conditions for giving European Standard the status of a national standard without any alteration. Up-to-date lists and bibliographical references concerning such national standards may be obtained on application to the CEN-CENELEC Management Centre or to any CE

This European Standard exists in three official versions (English, French, German). A version in any other language made translation under the responsibility of a CEN member into its own language and notified to the CEN-CENELEC Manageme Centre has the same status as the official versions.

CEN members are the national standards bodies of Austria, Belgium, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark Finland, Former Yugoslav Republic of Macedonia, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithu Luxembourg, Malta, Netherlands, Norway, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden, Switzerland, Tu United Kingdom.

INTERNATIONAL STANDARD

First edition 2014-06-01

ne ceramics (advanced ceramics, yanced technical ceramics) hanical properties of ceramic posites at high temperature at atmospheric pressure —

#### Example:

- Identical publication of EN ISO 17142:2016 (ISO 17142:2014)
- EN ISO 17142:2016 supersedes EN 15157:2006

150 17142:2014(E)



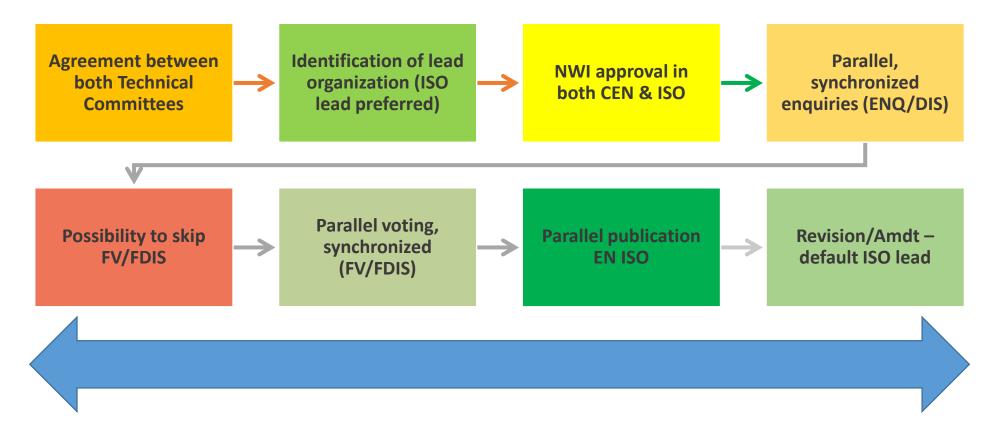


EUROPEAN COMMITTEE FOR STANDARDIZATION COMITÉ EUROPÉEN DE NORMALISATION EUROPAISCHES KOMITEE FUR NORMUNG



## International dimension – Vienna Agreement













## Understanding the standardization process



# 4. Citation of Harmonized Standards in Official Journal of the European Union (OJEU)





## **▶New Approach Directives**

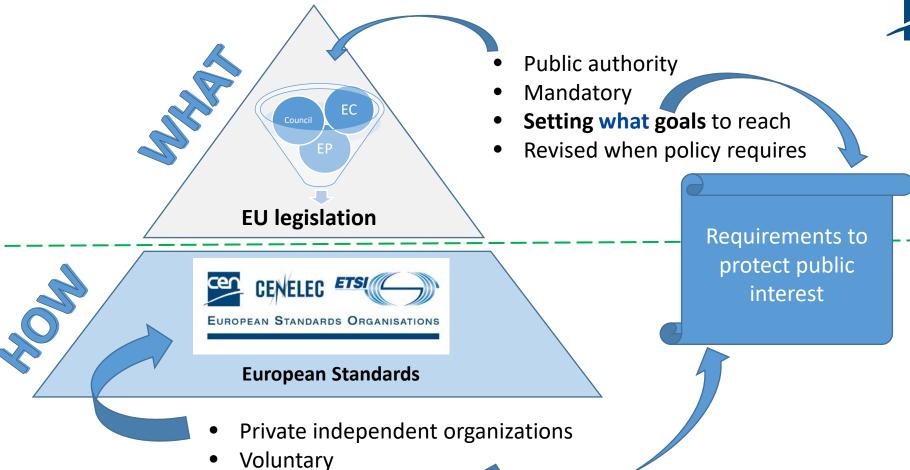
- ▶ Define essential requirements (e.g. heath and safety)
- ► Indicate WHAT shall be achieved without specifying HOW
- ▶ Transposed in Member States

#### **▶**Reference to standards

- ► At EC's request, CEN and CENELEC develop Harmonized Standards (hENs)
- ►ENs set out technical specifications to meet Directives' requirements

## Link with EU legislation & Standardization requests





**How to reach goals** 

State of the art; 5-year review cycle

## Link with EU legislation & Standardization requests



#### **EU** legislation

Directive or Regulation Essential Requirements

**Mandatory** 

#### Union Work Programme for Standardization

Strategic priorities for European standardization; Intentions for standardization requests

## Standardization request

ESOs accept and develop within their own rules; acceptance = binding commitment for timing & work programme

#### **PRODUCTS/SERVICES**

Market access across Europe based on standards

**Single Market** 

#### **Citation in OJEU**

Voluntary use of the standard Presumption of conformity with Essential Requirements CE marking

#### Harmonized

#### **European Standards**

Technical requirements; Voluntary; Vienna and Frankfurt Agreements: primacy of ISO and IEC work



- cen
- European law (Directives / Regulations) regulates important industrial sectors:
  - ► Machinery, Lifts
  - **▶** Construction
  - ► Low voltage equipment
  - ► Pressure equipment
  - ► Packaging and packaging waste
  - ▶ Medical devices
  - ▶ Railways
- ► Standards can be developed in support of the legislation through the New Legislative Framework (New Approach)

## New approach

- cen
- ► Standardization Request a precondition for citation of harmonized standards in Official Journal of the European Union (OJEU)
- ► Assessment of compliance with legal requirements
- ► Annex ZA obligatory for candidate harmonized standards (hENs)
- ► Citation in the OJEU always **EC responsibility** CEN and CENELEC offer candidate hENs to EC for citation quarterly
- ► The lists of hENs are **published in the OJEU** and continuously updated: <a href="http://ec.europa.eu/growth/index\_en.htm">http://ec.europa.eu/growth/index\_en.htm</a>
- ► Only when listed in the OJEU → presumption of conformity for Essential Requirements covered by the hEN

## New approach – standardization request



Article 1  Requested standardisation activities		Refer to the legislation / policy
Article 2 <b>Establishment of the work programme</b> Article 3		Work programme of requested deliverables; responsible technical bodies; timeframe.
<b>Agreement on the work programme</b> Article 4		EC to be informed of any changes in the WP
Reporting		Annual reporting
Article 5 <b>Harmonised standards</b>		Clear and precise description of relationship between hEN and EU
Article 6 <b>Validity</b>		legislation
ANNEXES —	<b>*</b>	<ol> <li>Requirements of the hENs</li> <li>Harmonised standards and</li> </ol>

deadlines for adoption



## Harmonized standards - Annex ZA



## Annex ZA (informative)

## Relationship between this European Standard and the essential requirements of Directive 2006/42/EC aimed to be covered

All harmonised standards must include an Annex ZA, demonstrating the relationship between the clauses of the standard and the regulatory requirements

This European Standard has been prepared under a Commission's standardization request "M/396" to provide one voluntary means of conforming to essential requirements of Directive 2006/42/EC of the European Parliament and of the Council of 17 May 2006 on machinery, and amending Directive 95/16/EC (recast).

Once this standard is cited in the Official Journal of the European Union under that Directive, compliance with the normative clauses of this standard given in Table ZA.1 confers, within the limits of the scope of this standard, a presumption of conformity with the corresponding essential requirements of that Directive, and associated EFTA regulations.

Table ZA.1 — Correspondence between this European Standard and Directive 2006/42/EC

The relevant Essential Requirements of Directive 2006/42/EC	Clause(s)/subclause(s) of this EN	Remarks/Notes
1.1.3 Materials and products	5.1.1; 5.1.2; 5.3.2	
1.1.4 Lighting	5.7.1; 5.7.2; 5.7.3; 5.7.4; 5.7.5; 5.7.6; 5.8.7	
1.1.5 Design of machinery to facilitate its handling	5.1.1; 5.5.2; 5.5.6; 5.8.1; 5.8.3; 5.8.4; 5.10.1; 5.10.5; 5.10.14.2	
1.1.6 Ergonomics	5.1.1; 5.2.1; 5.2.2; 5.2.3; 5.3.1; 5.3.3; 5.3.4; 5.8.5; 5.10.4; 5.10.8; 5.10.10; 5.10.12; 5.10.14.1	
1.1.7 Operating positions	5.1.1; 5.2.1; 5.2.3	
1.1.8 Seating	5.1.1; 5.3.1; 5.3.3; 5.3.4; 5.3.5	
1.2.1 Safety and reliability of control systems	5.1.9; 5.4.3; 5.5.3; 5.7.3	
1.2.2 Control devices	5.1.9; 5.4.1; 5.4.2; 5.4.3	
1220 0	503	



## Citation in the Official Journal of the EU (OJEU)



2.4.2020 EN Official Journal of the European Union L 102/9

#### ANNEX I

Annex I to Implementing Decision (EU) 2019/436 is amended as follows:

- (1) row 35 is replaced by the following:
  - '35. EN 62841-2-1:2018

    Electric motor-operated hand-held tools, transportable tools and lawn and garden machinery Safety Part 2-1: Particular requirements for hand-held drills and impact drills (IEC 62841-2-1:2017, Modified)

    EN 62841-2-1:2018/A11:2019
- (2) the following rows are added:

<b>'43</b> .	EN ISO 18497:2018	В
	Agricultural machinery and tractors – Safety of highly automated agricultural machines – Principles for design (ISO 18497:2018)	
44.	EN ISO 19353:2019	В
	Safety of machinery – Fire prevention and fire protection (ISO 19353:2019)	

https://ec.europa.eu/growth/single-market/european-standards/harmonised-standards\_en

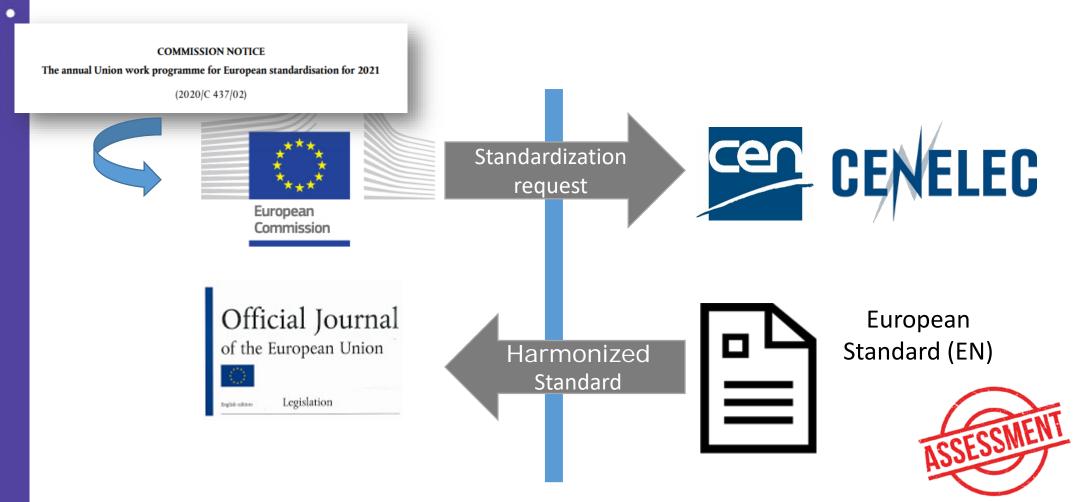
#### Summary list of titles and references of harmonised standards

The summary below consolidates the references of harmonised standards published by the Commission in the Official Journal of the European Union (OJ). It reproduces information already published in the L or C series of the OJ. It contains all references which, when the summary was generated, still provided a presumption of conformity together with references already withdrawn from the OJ.

The Commission services provide this summary for information purposes only. Although they take every possible precaution to ensure that the summary is updated regularly and is correct, errors may occur and the summary may not be complete at a certain point in time. The summary does not as such generate legal effects.

- Summary list as pdf document
- Summary list as xls file

## Harmonized standards: overall process



Standardization Request a **precondition** for citation of harmonized standards in OJEU

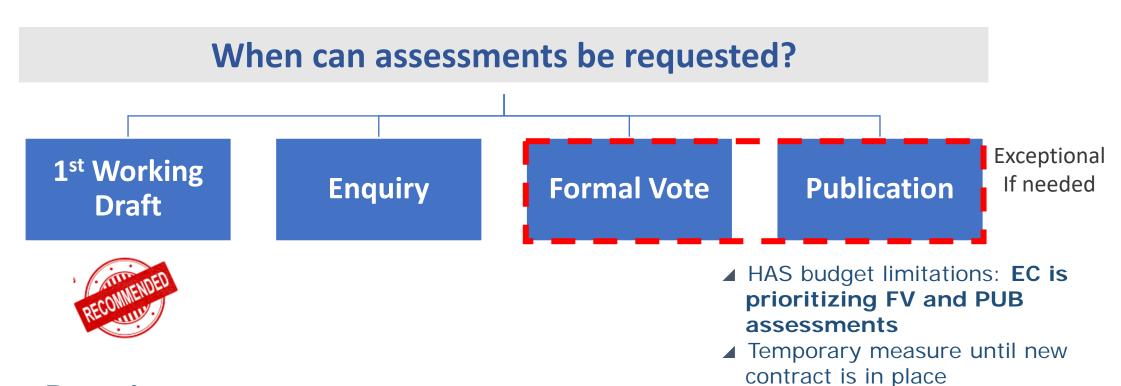
## HAS assessment system



- ► HAS Contractor = Ernst & Young (EY)
- ► HAS contract duration: 1 April 2018 until 31 March 2022
  - ▶ New contract: expected early 2022
- ► HAS contractor manages the pool of harmonized standards consultants 'HAS Consultants'
- ► Assessment outcome: 'Compliant' or 'Lack of compliance'
- ▶ Tasks HAS consultants:
  - ▶ 'Compliance assessment' of the documents drafted by the ESO's
  - ▶ 'Communication' to the technical bodies of the ESO's to give advice to contribute to compliance of the drafted documents with the EC's standardization requests → Meetings\*

\*Meetings with HAS consultants have temporarily stopped due to budget limitations of HAS project

## Interaction with standards development



- **▶** Duration assessments:
  - ► Normally <u>5 weeks</u> after notification to HAS CONTRACTOR (EY)



## TC secretary submits to CCMC the first working draft (FWD)

- Transmission notice
- CCMC Project Manager submits request to EY
- First draft Annex Z



For parallel work: CD-text

Assessment report available on LIVELINK

Electronic Transmission Notice				
TC Name		[provide TC name]		
TC Title		[provide TC title]		
Secretariat: [NC]		[provide TC Secretary name and email address]		
		[in case WG Convenor and project leader should receive a copy of the draft for TC proofing after Formal Vote, provide name(s) and email address(es)]		

Reference Number:	
Work Item Number:	
Title:	
Sending date:	

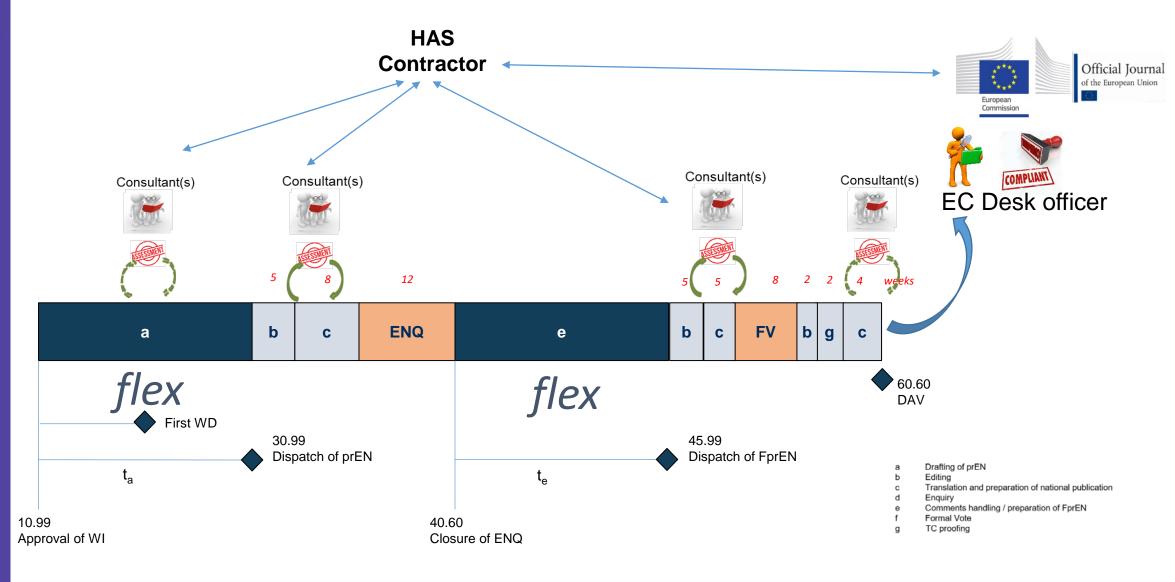
Deliverable	EN 🔲	HD 🔲	Amdt	TS 🔲	TR	AC 🔲
Milestone	1 <sup>st</sup> Working Draft	draft for ENQ	draft for FV	2nd ENQ [provide TC decision, number and date]	2nd FV [provide BT decision, number and date]	TS/TR vote
	[In case of dec	ision to skip F	V following ENG	), provide TC deci	sion, number and	date]

GENERAL					
The text is sent in	the following	Reference lang	juage version in	PDF and revisable	e Word format (only one
Reference langua	ge permitted),				
		ENGLISH	FRENCH	GERMAN	Comments
Provided					
Reference version	1				
WORD					
PDF					

TO BE FILLED IN IN CASE OF HARMONIZED STANDARD ONLY						
Standardization Related request Directive/policy		New Approach OJEU citation	Assessment required by			
request	Directive/policy	OJEO GIANON	HAS	EC	Other	
[SREQ_1]	[DIR_1]				[specify method]	
[SREQ_2]	[DIR_2]				[specify method]	
[SREQ_3]	[DIR_3]				[specify method]	

[	TO BE FILLED IN IN CASE THIS IS A 1st WORKING DOCUMENT:	
	document is to be submitted to HAS Consultant for indicative assessment	
		d

## Interaction with standards development







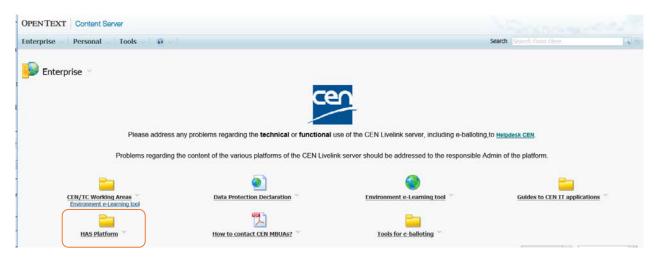
- ► Harmonised standards developed under usual CEN procedures
- ► HAS Consultant assessment
  - ► Homegrown: Formal assessment before ENQ and FV on the standard's coverage of the essential requirements of the legislation
  - ▶ ISO-CEN parallel work: Formal assessment during DIS and during FDIS on the standard's coverage of the essential requirements of the legislation
  - ▶ Possibility of 1<sup>st</sup> working draft assessment
  - ▶ In principle, 'Compliant' assessment required for publication



## **HAS Platform Access**



## Via Link: <a href="http://cen.iso.org">http://cen.iso.org</a>

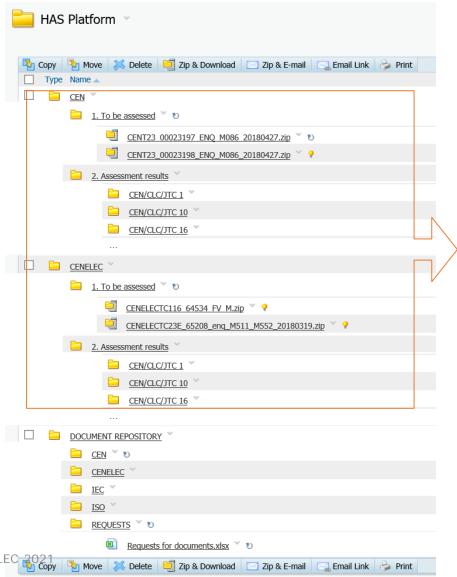


#### Via Notification (attached html)

# User: projex@cencenelec.eu Database: CENTC Date: 23/03/2018 Enterprise Activity: Document CENT23\_00023199\_ENO\_M086\_20180427.zip was added at "Enterprise/HAS Platform/CEN/1. To be assessed" Enterprise Workspace | Personal Workspace OpenText Content Server version 10.0.0. Copyright © 1995 - 2012 by Open Text Corporation. All rights reserved.

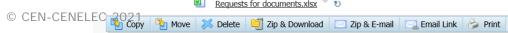






Download documents to be assessed and upload assessment results

Download and request documents that support the assessment

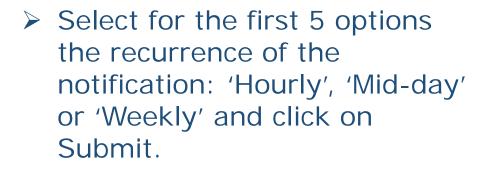


## **HAS Platform - Notifications**

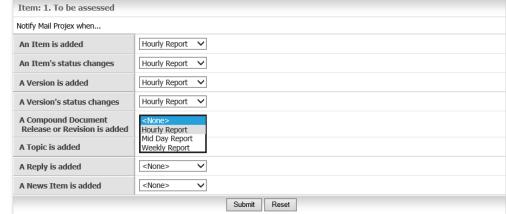


### Set personal notifications via Livelink:

Click on the folder you are interested to receive notifications for and choose 'Set Notification'.







- > Repeat for each folder you wish to receive notifications
- Advised folders: CEN to be assessed

### **HAS Platform Access**

- ► Technical Bodies to request meetings\* via EY <u>link</u> for:
  - ► Face-to-face meetings
  - ► Virtual meetings (more than 4 hours)
- ► Technical Bodies to contact directly HAS consultant for web-meetings less than 4 hours
- \*Meetings with HAS consultants have temporarily stopped due to budget limitations of HAS project

#### **HAS Meeting Requests**

Page 1 of 1

NON ANONYMOUS

This survey has been designed to capture user data. This means that when EY has sent you a link to this survey, your responses will be combined with your email address and other demographic information in order for EY to be able to create specific and individualized reports on the survey results. Furthermore, EY will use a limited amount of cookies to store such as your IP address and the time you filled your answers. Ernst & Young will be able to view all individual responses. EY will use a limited amount of cookies to store information on your computer, but only to the extent this is essential for the survey to operate. If you decide not to complete this survey or if you are inactive for more than 20 minutes. these cookies will be a automatically deleted. To find out more about the cookies we use see our our cookies.

Dear A

This page is aimed at providing a quicker and more efficient way to request meeting attendance from the HAS Consultants. As such, please try to be as specific as possible when answering the questions below and especially those regarding the scope of the meeting. It is also particularly important to fill-in the requestor contact details correctly so that our team is able to contact you as soon as your request has been approved or in case something is unclear.

Furthermore, please note that all requests will preferably be issued at least four weeks before the meeting date and that these must come from either the EC service or the ESOs. As such, requests issued from the HAS consultant will not be accepted.

Finally, please note that such a formal meeting request issued through this platform is always needed each time the presence of a HAS Consultant is required for any face-to-face meeting (regardless of the duration) or for a virtual meeting that extends more than half-day (4 hours). Meeting requests are not needed for written exchanges (requiring clarifications by e-mail directly to the consultant) or for phone calls and short video calls (less than 4 hours). We encourage virtual participation as much as possible to make effective usage of the Consultant's available time.

Thank you for your understanding.

The HAS Support Team

-- Please Select --

estor name <sup>*</sup>	
estor e-mail address ommunication related to the request will be sent to this e-mail address."	
estor's phone number e insert below your phone number so that our team can reach you out quickly, if necessary.	
estor entity e specify which entity you are from.* ease Select	
estor position within the entity e use the space below to mention your position, i.e. CCMC Project Manager, CEN TC member, EC Desk	Officer, etc.
estor TC or sector unit e mention below which specific TC or unit sector you cover, i.e. CEN/TC10, DG GROW/C.1, etc.	
	estor e-mail address communication related to the request will be sent to this e-mail address.*  estor's phone number insert below your phone number so that our team can reach you out quickly, if necessary.  estor entity especify which entity you are from.* ease Select  estor position within the entity e use the space below to mention your position, i.e. CCMC Project Manager, CENTC member, EC Desk estor TC or sector unit

## **Checklist for hENs:**



- ► Checklist: horizontal aspects to be considered by TCs when drafting hEN in view of OJEU citation
- ▶ When drafting homegrown hENs, harmonized standards under VA (CEN lead)
  → Technical Bodies shall check their compliance against a dedicated checklist
- ► TC secretary in CEN → ensure checklist is filled out and submitted to CCMC with the draft hEN and supporting documents (where relevant)
- ▶ During the ENQ and FV procedures: CCMC will reject, as of 2021-10-01, the submission of draft hEN if the completed checklist and relevant documents were not submitted
- ► Strongly recommended the checklist for drafting of hEN under VA (with ISO lead)





## **Checklist for hENs:**

CEN BOSS pages guidance on hENs published

#### < European Standard (EN)

#### European Standards (EN)

 Drafting of European Standards up to Enquiry stage

> Enquiry

Formal Vote

 Finalization and implementation of European Standards

 European standards for citation in the OJEU

HAS assessment process

#### Drafting European standards for citation in the OJEU

This page provides guidance to Technical Bodies and Working Groups (WGs) on horizontal aspects to be considered when preparing harmonized European standards in support of EU harmonization legislation intended to be cited in the Official Journal of the European Union (OJEU). Information on the HAS process and how to interact with the HAS consultants can be found on the page HAS assessment process. Regulation (EU) No 1025/2012 on European standardization defines a harmonized standard as "a European standard adopted on the basis of a request made by the Commission for the application of Union harmonisation legislation".

Forms & Templates	+
Related decisions	+
Reference document	+
Internal Regulations	+

#### 1 PREPARATION OF NEW WORK ITEM

The starting point for the development of a harmonized standard is to assess whether it is in the scope of the relevant EU harmonization legislation and whether the standard is covered by a standardization request or mandate which was accepted by the Technical Boards.

v2021-04-14

#### Checklist – Items to be considered when drafting standards answering a Standardisation Request and to be offered for citation in the OJEU

This checklist was prepared following the format of Internal Regulations CEN/CENELEC Part 3 – Annex A "Checklist for writers and editors of document". All CEN/CENELEC standards and deliverables shall follow the provisions of IR 3.

This checklist is applicable for all sectors, except construction.

This checklist shall be fulfilled before dispatch for:

- 1. the First Working Draft for optional assessment (if any),
- the draft for Enquiry,
- the final draft for Formal vote,
- 4. In case of lack of compliance assessment, a new optional assessment after Formal vote (PUB assessment).

The Technical Committee secretary in CEN and the Technical body secretary / Reporting Secretariat in CENELEC are responsible for ensuring that the checklist below is filled out and submitted to CCMC with the draft candidate harmonized standards and their supporting documents/justifications where relevant. In CEN, the matrix of responsibilities shall be followed (see decision BT C081/2018).

It is strongly recommended to use of the checklist for the drafting of harmonized standards in support of EU Legislation under VA and FA (with ISO or IEC lead).

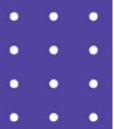
	Check the following questions – if you answer yes to all the questions, the draft is probably ready for submission to CCMC (and HAS Consultant assessment).				
		Is this draft standard listed in a Standardisation Request / covered by a Mandate?			
General		Is this reflected in Projex-online database?			
	General	NB: This information is normally already provided in the NWIP form.			
	oener <b>u</b>	NB: If not, contact the TC secretariat. A possible way forward is to propose to the European Commission to add this work item in a (revised) Standardisation Request. This is not applicable if the standard is covered by an open Mandate (e.g. M/396 Machinery)			

	If the HAS assessment was performed (i.e. optional assessment at First Working Draft (FWD) stage or assessment at	
	Enquiry stage), has the WG answered <u>all</u> comments from the HAS Consultant(s)?	
	NB: The last column of the HAS Assessment Report ('Observations of the secretariat') at previous stage shall be filled in	
	with the information on how the comments have been addressed.	
	If the text deals with requirements that are not linked to essential requirements of EU legislation, are these	
	requirements in separate clauses, so that in Annex Z only the clauses covering essential requirements are identified?	
	If the standard is a revision, are the significant changes with respect to the previous edition precisely defined?	
	NB: The list of the significant changes with respect to the previous edition is an important element of the useful	
	information to the standard users. It should not be too vague.	
	NB: When the list of significant technical changes is extensive, it may be included in an informative annex. A reference to	
European	that annex shall be included in the foreword, preferably after the generic sentence that refers to the superseded	
foreword	document.	
loieword	Does it include the following sentences "The standard has been prepared under a standardisation request given to	
	CEN/CENELEC by the European Commission and the European Free Trade Association and support essential	
	requirements of EU Directive / Regulation. For relationship with EU Directive / Regulation, see informative Annex Z,	
	which is an integral part of this document."?	
	NB: Annex ZA in CEN and Annex ZZ in CENELEC.	
	Is the scope concise and clear? Is it worded as a series of statements of fact?	
	Are the title, scope and annex Z consistent regarding exclusion / content covered by the standard?	
Scope	NB: The scope of the standard could be broader than the relationship between this standard and the requirements of the	
	EU legislation.	
	NB: The scope shall not include requirements, permission or recommendation (in line with IR 3).	
	Are the standards listed in the Normative references Clause 2 normatively referenced within the text (i.e. are they cited	
Normative	in the text in such a way that some or all of their content constitutes requirements of the document, for instance with a	
Normative reference	"shall").	
reference	NB: See IR 3 with the preferred verbal form to be used to express a requirement.	
	Are the normative references dated in Clause 2 and in all clauses of the draft standard?	☐Yes ☐No









## Understanding the standardization process



# 5. BOSS – Business Operations SupportSystem

# Harmonized standards - development



- ► Source of stable information from CEN to CEN/TC secretaries, chairs, experts.
- ▶ Quick and easy access to navigate internet tool with public access: <a href="https://boss.cen.eu">https://boss.cen.eu</a>
- ► <u>CEN-CENELEC Internal Regulations are on the</u> BOSS
  - ▶ Part 2: Common Rules for Standardization Work
  - ▶ Part 3: Rules for the structure and drafting of CEN/CENELEC Publications
- ► <u>Formatted decisions</u>
- ► Forms and templates



providing practical information to those actively contributing to the development of deliverables within the CEN system. It clearly

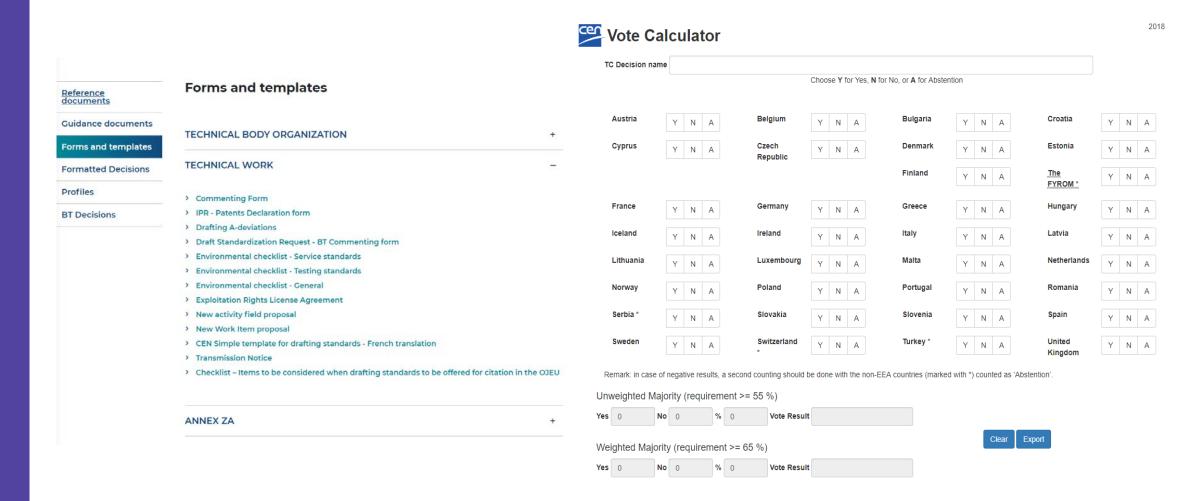
describes processes and gives access to instructions and

guidance for their day-to-day work.

Enter key words or phrase









# **Business Operations Support System**



Reference documents

**Guidance documents** 

Forms and templates

Formatted Decisions

Profiles

**BT Decisions** 

#### Formatted decisions

Templates of decisions for which no BT approval is needed. For more information on their handling see the procedure on 'Delegated decisions'.

**NOTE:** Formatted decisions adopted at meetings or by correspondence (using the **CIB**) shall be sent by the TC Secretary by e-mail to **dataservice@cencenelec.eu** or submitted via the PROJEX-ONLINE working area.

#### **0 CALCULATION TOOL**

For decisions taken by Technical Bodies, the following calculation tools for determining the voting weights are available:

> CEN calculation tool (Lisbon treaty)

## 1 DECISION DELEGATED TO CEN-CENELEC MANAGEMENT CENTRE (CCMC)

> Extension of dow on request of a CEN/TC or CEN member

#### 2 DECISIONS DELEGATED TO A TECHNICAL COMMITTEE

2.1 Related to TC organization

2.2 Related to the Technical work

#### Confirmation of EN

DECISION number taken by CEN/TC number on CCYY-MM-DD

Subject: CEN/TC number - Confirmation of EN number: CCYY after review

CEN/TC number

- having considered the CEN/CENELEC Internal Regulations Part 2, <u>subclause</u>
   11.2.8 stating the obligation of the review of ENs at intervals not exceeding five years;
- having noted the resolution CEN/BT 5/1995, fixing the procedure to be followed when reviewing;

decides to confirm EN number: CCYY title.

The decision was taken by unanimity or simple majority with N positive votes, N negative vote(s) and N abstention(s).



## Understanding the standardization process



## 6. Useful information





- Sent after each BT meeting
  - Report on the main outcomes of crosscutting nature discussed in the BT meetings as well as on the latest decisions of transversal nature taken by correspondence
  - Sent by email to all TC Officers
  - Subscribe to the newsletters (webpage)



ISSUE JULY 2021 - Vol. 10



2021-12-07





#### Decisions from 66th CEN/BT TCMG - 93rd CEN/BT - 168th CLC/BT

Since the last issue of the BT Newsletter in April 2021, the CEN and CENELEC BTs have met once, from 25 to 27 May 2021 and the CEN/BT TCMG has held one meeting, on 8 July 2021 (virtual meetings).

We are pleased to report here on the main outcomes of crosscutting nature discussions resulting from those meetings, as well as on the latest decisions of transversal nature taken by correspondence (until 15 July 2021).

Best regards

Cinzia MISSIROLI Director Standardization & Digital Solutions

#### BT measures relating to COVID-19 pandemic

Since the outbreak of the COVID-19 pandemic the CEN and CENELEC community has consistently supported the European joint efforts in the fight against its spread, notably through decisions and measures taken at the Technical Board level, among which the temporary free-of-charge availability of standards (CEN/BT 004/2021 and CLC/BT 167/011) as well as the issue of CWA 17553 'Community face coverings - Guide to minimum requirements, methods of testing and use' (CEN/BT 038/2020).

#### CWAs on COVID-19 health and safety protocols for tourism establishments and services & Visual identity

In support to the European tourism industry and thanks to the swift work within the Workshop CEN/WS EUROSAFETOUR, two deliverables and an associated visual identity were issued mid-May:

- CWA 5643-1:2021, Tourism and related services Requirements and guidelines to reduce the spread of Covid-19 in the tourism industry (adoption of ISO/PAS 5643:2021),
- CWA 5643-2:2021, Tourism and related services Requirements and guidelines to reduce the spread of Covid-19 in the tourism industry - European visual identity
- Tourism Covid-19 Safety Seal, available free-of-charge on the CEN website.

## General information available



- CEN website: <u>www.cencenelec.eu</u>
- CEN expert area: <a href="https://experts.cen.eu/">https://experts.cen.eu/</a>



- CEN-CENELEC Newsletter: 'On the spot'
- Social Media: <u>Facebook</u>, <u>Twitter</u>, <u>LinkedIn</u> & <u>YouTube</u>
- <u>CEN-CENELEC</u> and <u>CEN</u> Guides
- CEN Technical Committees
- CEN Members <u>list</u>
- Events & Trainings



# Important contacts in CCMC



dataservice@cencenelec.eu	Forward the minutes of each meeting /TC decisions at meeting and by correspondence/Projex alerts/CEN database
production@cencenelec.eu	eTrans / translation/voting results/corrigenda/final publications
partners@cencenelec.eu	Liaisons with European/international organizations
research@cencenelec.eu	Support on research projects



# Thank you! Questions?



Nuno Pargana
<a href="Maintenance: NPargana@cencenelec.eu">NPargana@cencenelec.eu</a>
Thierry Legrand
<a href="TLegrand@cencenelec.eu">TLegrand@cencenelec.eu</a>





www.cencenelec.eu

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